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MP248 ‘Requirements for DCC Gateway Connection’

Annex B

Legal text – version 1.0

About this document

This document contains the redlined changes to the SEC that would be required to deliver this Modification Proposal.

Section A 'Definitions and Interpretations'

These changes have been redlined against Section A version 34.0.

Amend the following definition in Section A1.1:

DCC Gateway Connection means:

- (a) in respect of each RDP which has elected under Section E3 (DCC Gateway Connections for Registration Data Providers) to utilise a virtual (cloud-based) connection, that virtual connection;
or
- (b) in all other cases~~for a premises,~~ the physical infrastructure by which a connection is (or is to be) made between ~~that~~ premises and the DCC Systems (and each such DCC Gateway Connection shall form part of the DCC Systems).

Section E 'Registration Data'

These changes have been redlined against Section E version 11.0.

Amend Section E3 as follows:

E3. DCC GATEWAY CONNECTIONS FOR REGISTRATION DATA PROVIDERS

Provision of a DCC Gateway Connection for RDPs

- E3.1 Registration Data Providers may request DCC Gateway Connections, and the DCC shall offer to provide such connections, in accordance with Sections H15.4 and H15.6 to H15.12 (as if Registration Data Providers were Parties), save that:
- (a) a Registration Data Provider shall not specify which DCC Gateway Bandwidth Option it requires, and shall instead specify which (if any) other Registration Data Providers it intends to share the connection with pursuant to Section E3.4; and
 - (b) a Registration Data Provider may elect to connect to the Registration Data Interface using a virtual (cloud-based) connection.
- E3.2 The DCC shall provide the requested DCC Gateway Connections ~~to the premises of Registration Data Providers~~ in accordance with Sections H15.13 to H15.15 (as if Registration Data Providers were Parties), save that no Charges shall apply.
- E3.3 The DCC shall ensure that the DCC Gateway Connection it provides ~~to the premises of Registration Data Providers~~ pursuant to this Section E3 is of a sufficient bandwidth to meet the purposes for which such connection will be used by the Registration Data Provider, and any other Registration Data Providers notified to the DCC in accordance with Section E3.1 or E3.4 (provided, in the case of those notified in accordance with Section E3.4, that the DCC may object to the transfer or sharing where it reasonably believes that the connection will not be of sufficient bandwidth to meet the needs of all of the Registration Data Providers in question).
- E3.4 Each Registration Data Provider may transfer or share its rights in respect of the DCC Gateway Connection provided to its premises pursuant to this Section E3 in accordance with Sections H15.16 and H15.17 (as if Registration Data Providers were Parties), save that such rights may only be transferred to or shared with other Registration Data Providers for the purposes of accessing the Registration Data Interface.
- E3.5 Once a DCC Gateway Connection has been established:
- (a) the Registration Data Provider that requested it (or to whom it has been transferred in accordance with Section E3.4) and the DCC shall each comply with the provisions of the DCC Gateway Connection Code of Connection applicable to the DCC Gateway Bandwidth Option utilised at the connection; and
 - (b) the DCC shall make the connection available to such Registration Data Provider until:
 - (i) the DCC is notified by such Registration Data Provider that it wishes to cancel the

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connection; or (ii) such Registration Data Provider ceases to be a Registration Data Provider for one or more Network Parties.

DCC Gateway Equipment at RDP Premises

- E3.6 The DCC and each Registration Data Provider shall comply with the provisions of Sections H15.20 to H15.28 in respect of the DCC Gateway Equipment installed (or to be installed) at a Registration Data Provider's premises (as if Registration Data Providers were Parties), save that Section H15.28 shall be construed by reference to Section E3.5(b). In the case of a virtual DCC Gateway Connection, no DCC Gateway Equipment will need to be installed at a Registration Data Provider's premises.

Interpretation

- E3.7 When interpreting Section H15 (DCC Gateway Connections) and the DCC Gateway Code of Connection as they apply for the purposes of this Section E3:
- (a) given the application of certain provisions of Section H15 to Registration Data Providers in accordance with this Section E3, defined terms used in Section H15 and/or the DCC Gateway Connection Code of Connection shall be construed accordingly (including DCC Gateway Party by reference to the Registration Data Provider which requested the connection, or to whom the right to use the connection has been transferred pursuant to Sections E3.4 and H15.16);
 - (b) given that Registration Data Providers do not specify the DCC Gateway Bandwidth Option that they require (and that the DCC instead determines the most appropriate bandwidth), references in Section H15 to the bandwidth requested by a Party shall be construed accordingly; and
 - (c) in the case of virtual DCC Gateway Connections, DCC Gateway Bandwidth Option will be the same, no physical site assessment will be necessary, and no DCC Gateway Equipment will need to be installed at a Registration Data Provider's premises. The DCC will validate a virtual DCC Gateway Connection to ensure it meets its security requirements.

Liability of and to the Network Parties

- E3.8 Each Network Party shall ensure that its Registration Data Provider (being the Network Party itself where that is deemed to be the case in accordance with the definition of Registration Data Provider) shall comply with the obligations expressed to be placed on Registration Data Providers under or pursuant to this Section E3.
- E3.9 Where more than one Network Party nominates the same Registration Data Provider, each of those Network Parties shall be jointly and severally liable for any failure by that Registration Data Provider to comply with the obligations expressed to be placed on Registration Data Providers under or pursuant to this Section E3.
- E3.10 The DCC acknowledges that it is foreseeable that Network Parties will have made arrangements with their Registration Data Providers such that breach by the DCC of this Section E3 will cause the Network Parties to suffer loss for which the DCC may be liable (subject to Section M2 (Limitations of Liability)).

Disputes

- E3.11 Where a Registration Data Provider wishes to raise a dispute in relation to its request for a DCC Gateway Connection, then the dispute may be referred to the Panel for determination. Where that Registration Data Provider or the DCC disagrees with any such determination, then it may refer the matter to the Authority for its determination, which shall be final and binding for the purposes of this Code.

Appendix X 'Registration Data Interface Specification'

These changes have been redlined against Appendix X version 6.0.

Amend Clause 3.7 as follows:

- 3.7 The DCC shall provide any DCC Status Files utilising the FTPS connection ~~or via an alternative means as agreed between the DCC and the Gas Registration Data Provider or Electricity Registration Data Provider to whom the file is being sent, (provided that any such alternative means must incorporate the use of security controls that are at least as robust as those that apply to the FTPS connection).~~

Appendix Y 'Registration Data Interface Code of Connection'

These changes have been redlined against Appendix Y version 3.0.

Amend Clause 1.3 as follows:

Restrictions on the use of DCC Gateway Connections

- 1.3 The DCC shall use a DCC Gateway Connection for the purpose of sending data to the Registration Data Provider pursuant to Section E2 of the Code, ~~except where an alternative means of transfer has been agreed pursuant to clause 3.7 of the Registration Data Interface Specification.~~